

TAYLOR SECURITIES SERVICES, INC.

3113 NORTH FRONT STREET, HARRISBURG, PA 17110

717-232-5808 - MATT@TAYLORSECURITIES.COM

PRIVACY POLICY - EFFECTIVE DATE: 02/18/2026

Taylor Securities Services, Inc. ("TSSI," "we," "our," or "us") is committed to maintaining the confidentiality, integrity, and security of your nonpublic personal information. This Privacy Notice is provided in accordance with Regulation S-P under the Investment Advisers Act of 1940 and describes how we collect, use, share, and protect your information.

Why?

Federal law requires investment advisers to tell you how we collect, share, and protect your nonpublic personal information ("NPI"). Federal law also gives you the right to limit certain types of sharing.

What Information We Collect

We collect nonpublic personal information about you from the following sources:

Information You Provide to Us

- Name, address, telephone number, email address
- Social Security number or taxpayer identification number
- Date of birth
- Employment and income information
- Investment objectives, financial circumstances, and risk tolerance
- Banking information and account numbers

Information About Your Transactions

- Account balances and positions
- Transaction history
- Advisory agreements and related documentation
- Information relating to your participation in our wrap fee program

Information from Third Parties (With Authorization)

- Custodians and broker-dealers
- Third-party portfolio managers
- Insurance carriers (if applicable through affiliated activities)
- Consumer reporting agencies, when permitted by law

How We Use Your Information

We use your information to:

- Provide investment advisory and wrap fee program services
- Maintain and service your accounts
- Execute transactions through custodians
- Select and monitor third-party portfolio managers
- Comply with legal and regulatory obligations
- Prevent fraud and unauthorized transactions
- Respond to court orders or regulatory inquiries

How We Share Information

We do not sell your personal information. We may share your nonpublic personal information only as permitted by law, including:

For Everyday Business Purposes

Such as to:

- Process transactions
- Maintain accounts
- Respond to court orders and legal investigations
- Report to credit bureaus (if applicable)

With Service Providers

We may share information with non-affiliated third parties that assist us in operating our business, including:

- Custodians (e.g., Charles Schwab, as disclosed in our Form ADV)
- Independent third-party portfolio managers
- Compliance consultants
- Technology providers
- Auditors and legal counsel

These parties are required to maintain confidentiality and use the information only for authorized purposes.

With Affiliates

We may share information with TRS as permitted by law to facilitate insurance-related services requested by you. You may limit certain sharing as described below.

For Legal or Regulatory Purposes

We may disclose information:

- To comply with federal or state law
- In response to subpoenas or regulatory examinations
- To protect against fraud or unauthorized transactions

Your Right to Limit Sharing (Opt-Out Rights)

Federal law gives you the right to limit:

- Sharing for affiliates' marketing purposes
- Sharing with non-affiliates to market to you

TSSI does not share your personal information with non-affiliates for their independent marketing purposes.

If we were to engage in affiliate marketing in the future, you would be provided the opportunity to opt out.

To exercise your opt-out rights, please contact us at:

Taylor Securities Services, Inc.
3113 North Front Street
Harrisburg, PA 17110
(717) 232-5808

Protection of Your Information (Safeguards Rule Compliance)

We maintain physical, electronic, and procedural safeguards designed to protect your personal information in accordance with SEC Regulation S-P.

Our safeguards include:

- Restricted access to client information
- Secure office facilities
- Encryption and password-protected systems
- Multi-factor authentication where appropriate
- Vendor due diligence procedures
- Secure transmission of information
- Employee confidentiality agreements and training

We maintain written policies and procedures reasonably designed to:

- Protect customer information
- Detect, prevent, and respond to unauthorized

access

- Oversee service providers' data protection practices
- Dispose of customer information in a secure manner

Incident Response and Notification

TSSI maintains written incident response policies designed to address unauthorized access to or use of client information. All security incidents, including those involving service providers, are documented and maintained in accordance with applicable SEC recordkeeping requirements.

If we determine that a breach involving sensitive customer information has occurred and notification is required under federal law, we will provide notice to affected individuals as soon as practicable, consistent with regulatory requirements.

Disposal of Information

We dispose of client information in a manner reasonably designed to prevent unauthorized access or use, including secure shredding of physical documents and secure deletion or destruction of electronic data.

Former Clients

If you decide to close your account or become an inactive client, we will continue to adhere to the privacy policies and practices described in this notice.

Oversight Responsibility

The President of TSSI is responsible for the administration, oversight, and enforcement of this Privacy Policy and the firm's information security program. This Privacy Policy and the firm's information safeguarding procedures are reviewed at least annually, and more frequently as needed, to reflect changes in applicable laws, regulatory guidance, business operations, technology, or identified risks. Any material updates are approved by the President and implemented promptly.

Questions?

If you have any questions about this Privacy Notice or our privacy practices, please contact:

Taylor Securities Services, Inc
(717) 232-5808